

Part of

Form PTO-1449 (modified) **#11**

List of Patents and Publications for Applicant's
5TH INFORMATION DISCLOSURE STATEMENT

Page 1 of 1

Atty. Docket No.
47171-00262USC2

Serial No.
10/027,449

Applicant
Richard A. Mazur et al.

Filing Date:
December 21, 2001

Group: 3652

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

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Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						
	B03						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
<i>WB</i>	C1	Mosler/Toshiba: Model CS 6600 - Optical Currency Counter/Sorter, 4 pages, (© 1985)
<i>WB</i>	C2	Glory: GSA-500 Sortmaster brochure (4 pages)(est. 1/14/94)
<i>WB</i>	C3	Glory: Sale of Glory GSA-500 Sortmaster, 1 page (1986)
<i>WB</i>	C4	Glory: GFB 500/520 DeskTop Bank Note Counters brochure, 2 pages (9/21/93)
<i>WB</i>	C5	Glory: GFB 500/520/600 Bank Note Counting Machine - Operating Instructions (1996)
<i>WB</i>	C6	Glory: Cash Handling Systems Product Brochure, 11 pages (4/25/94)
<i>WB</i>	C7	De La Rue Systems: 2300 Series Note Counter - Making Technology Count (1987)
<i>WB</i>	C8	De La Rue Systems: "The Processing of Money and Documents," 4 pages (1987)
<i>WB</i>	C9	De La Rue: 3100 Serie, L'Internationale des Machines a trier les Billets (© 1989) (French)
<i>WB</i>	C10	De La Rue Systems: 2100 Banknote Counting Machine - Operator Instruction and Maintenance Sheet (4 pages) (1980)
<i>WB</i>	C11	De La Rue Systems: 9000 Series High Speed Document Reader/Sorters, 8 pages (1980)
<i>WB</i>	C12	Glory: GFB-200, 210, & 230 Banknote Counting Machine - Operator's Manual, 24 pages (8/94)

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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Form PTO-1449 (modified) <div style="text-align: center;"> JAN 31 2003 <small>RECEIVED</small> </div> List of Patents and Publications for Applicant's 4TH INFORMATION DISCLOSURE STATEMENT <div style="text-align: center;">Page 1 of 5</div>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Atty. Docket No. 47171-00262USC2</td> <td style="width: 50%;">Serial No. 10/027,449</td> </tr> <tr> <td colspan="2">Applicant Richard A. Mazur et al.</td> </tr> <tr> <td>Filing Date: December 21, 2001</td> <td>Group: 3652</td> </tr> </table>	Atty. Docket No. 47171-00262USC2	Serial No. 10/027,449	Applicant Richard A. Mazur et al.		Filing Date: December 21, 2001	Group: 3652
Atty. Docket No. 47171-00262USC2	Serial No. 10/027,449						
Applicant Richard A. Mazur et al.							
Filing Date: December 21, 2001	Group: 3652						

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
<i>MB</i>	B23	EP 0487 316 A2	05/27/92	European	G07D	7/00	English

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
<i>MB</i>	C13	Complaint Cummins-Allison Corp. v. Glory Ltd., Glory Shoji Co. Ltd., and Glory (U.S.A.), Inc., Civil Action No. 02C-7008, N.D. Ill.
<i>MB</i>	C14	Redacted Declaration of Hiroya Mouri (12 pages) (11/19/02)
<i>MB</i>	C15	Glory Model UF-1, Instruction Manual (30 pages) (date uncertain, prior to 11/20/02)(Japanese)[11/19/02 Mouri Ex. 1]
<i>MB</i>	C16	Glory Model UF-1, Translation of Instruction Manual – (29 pages) (date uncertain, prior to 11/20/02) (Glory's translation) [11/19/02 Mouri Ex. 1a]
<i>MB</i>	C17	Glory UF-1 brochure (2 page)(date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 2]
<i>MB</i>	C18	Glory GFB-30 brochure (2 page) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 3]
<i>MB</i>	C19	Glory GFB-30 operation manual (16 pages) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 6]
<i>MB</i>	C20	Glory GSA-500 Service Manual (119 pages) (5/89) [11/19/02 Mouri Ex. 5]
<i>MB</i>	C21	Glory GFU-200 operator manual (26 pages) (date unknown, first page marked 92-3-2) (Japanese) [11/19/02 Mouri Ex. 8]
<i>MB</i>	C22	Glory GFR-110 Instruction Manual – Currency Reader Counter (26 pages) (dated 8/23/99) [11/19/02 Mouri Ex. 10]
<i>MB</i>	C23	Glory GFR-S Series Currency Counters/Discriminators GFR-S60; GFR-S80; GFR-S80V (4 pages) (date © 2002) [11/19/02 Mouri Ex. 12]
<i>MB</i>	C24	Declaration of Sadaaki Uesaka (7 pages) (11/19/02)
<i>MB</i>	C25	Glory's Monthly Newsletter, June 1985 (38 pages) (Japanese) [11/19/02 Uesaka Ex. 2]
<i>MB</i>	C26	Glory's Monthly Newsletter, June 1985 – partial translation (4 pages) [11/19/02 Uesaka Ex. 3]

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List of Patents and Publications for Applicant's 4TH INFORMATION DISCLOSURE STATEMENT	Applicant Richard A. Mazur et al.	
Page 2 of 5	Filing Date: December 21, 2001	Group: 3652

<i>MB</i>	C27	Glory Money O.A. Catalog, June 1989 (44 pages) (Japanese) [11/19/02 Uesaka Ex. 5]
<i>MB</i>	C28	Declaration of Akira Hoyo (5 pages) (11/15/02)
<i>MB</i>	C29	Billcon D-202/204 Service Manual – Second Translation (Glory) (cover marked 630229) (25 pages) [11/15/02 Hoyo Ex. 2a]
<i>MB</i>	C30	Billcon D-202/204 – Nikkin Newspaper ad, April 17, 1987 (2 pages) (Japanese) [11/15/02 Hoyo Ex. 3]
<i>MB</i>	C31	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (English translation) [11/15/02 Hoyo Ex. 3a]
<i>MB</i>	C32	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (Japanese) [11/15/02 Hoyo Ex. 5]
<i>MB</i>	C33	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (English translation) [11/15/02 Hoyo Ex. 5a]
<i>MB</i>	C34	Billcon D-202/204 videotape of Japanese television show entitled "Small and Worldwide Companies" (allegedly aired 1/10/88) (Japanese) [11/15/02 Hoyo Ex. 6]
<i>MB</i>	C35	Declaration of Philip C. Dolsen (6 pages)(11/20/02)
<i>MB</i>	C36	Dolsen, Philip C. Curriculum (4 pages) (10/29/02) [11/20/02 Dolsen Ex. 1]
<i>MB</i>	C37	OKI Semiconductor data book, MSM80C85A-2RS/GS/JS – 8 Bit CMOS Microprocessor (10 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3A]
<i>MB</i>	C38	OKI Semiconductor data book, MSM80C88A-2RS/GS/JS – 8 Bit CMOS Microprocessor (27 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3B]
<i>MB</i>	C39	Intel 80286, Intel data sheet, High Performance Microprocessor with Memory Management and Protection (28 pages; pages 3-1 to 3-55) (alleged 1988) [11/20/02 Dolsen Ex. 4]
<i>MB</i>	C40	NEC uPD780C-1 Microprocessor, NEC data book (23 pages; pages 4-3 to 4-25) (alleged 1987) [11/20/02 Dolsen Ex. 5]
<i>MB</i>	C41	NEC PD70216 processor, NEC data book (34 pages; pages 3-161 to 3-227) (alleged 1987) [11/20/02 Dolsen Ex. 6]
<i>MB</i>	C42	Dolsen claim chart for claims 40-43, 46-48, 76-78, 81, 101, 105, 108, 110-111 U.S. Pat. No. 6,459,806 [11/20/02 Dolsen Ex. 7]
<i>MB</i>	C43	Glory GFR-S80V Operation Keys, Pages 1-11 (5/17/02) English (GL000106-116)
<i>MB</i>	C44	Glory Catalog pages 4-11 listing various Glory machines including GFU-100, GFF-8CF, GFF-8, GFB-500/520, GFF-8E, and GSA-500 and maintenance policy and fees, English (GL001916-1923) (date uncertain, last page dated 8/15/90)
<i>MB</i>	C45	De La Rue 3000 Series Used banknote sorting machines, 10 legal size pages, English (GL001924-33) (date uncertain, prior to 11/4/02)
<i>MB</i>	C46	De La Rue 3400/3500 Series High Speed Currency Sorting Systems brochure, 4 pages, English (GL001934-37)(© 1989)
<i>MB</i>	C47	The New Billcon K-300 Series brochure, 2 pages, English (GL002389-90) (12-99)
<i>MB</i>	C48	The New Billcon N-Series Compact Note Counter brochure, 2 pages, English (GL002391-92) (© 2000)

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List of Patents and Publications for Applicant's 4TH INFORMATION DISCLOSURE STATEMENT	Applicant Richard A. Mazur et al.	
Page 3 of 5	Filing Date: December 21, 2001	Group: 3652

ms	C49	The New Billcon K-300 Series Brochure, 2 pages, (© 1999) English (GL002396-97)
ms	C50	Billcon D-202/204 brochure, 2 pages, Japanese (date uncertain, prior to 11/7/02) (GL002398-99)
ms	C51	De La Rue Teller Cash Dispatch™ Applications brochure, 8 pages, (© 1999) English (GL002475-2482)
ms	C52	De La Rue Cash Systems, Coin Processing Banknote Counting brochure, 4 legal pages, (date uncertain, prior to 11/7/02) English (GL002485-88)
ms	C53	De La Rue Cash Systems, 2650 Currency Counting Machine brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002489-90)
ms	C54	De La Rue Cash Systems, The Euro Range for Note and Coin Handling brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002491-94)
ms	C55	Currency Systems International Cobra™ Banknote Sorter brochure, 3 pages (middle page legal), (© 2001) English (GL002495-97)
ms	C56	De La Rue Cash Systems Cobra™ 4004 Banknote Sorter brochure, 2 legal pages, (© 2001) English (GL002498-99)
ms	C57	De La Rue Cash Systems TCR Twin Safe™ Teller Cash Recycler, 2 pages, (© 2001) English (GL002500-01)
ms	C58	De La Rue's WestLB Panmure Marketing Pamphlet regarding Cash Systems Division, 16 pages, (4/29/02) English (GL002502-17)
ms	C59	De La Rue Cash Systems 2800 VB Value Balancing currency counter brochure, 2 pages (9/99) English (GL002518-19)
ms	C60	De La Rue Cash Systems 8672 Máquina contadora de billetes brochure, 2 pages (date uncertain, handwritten date 11/99) (Spanish) (GL002520-21)
ms	C61	Sprintquip Quicksort™ 2800 brochure, 2 pages, (hand dated 9/99) English (GL002523-24)
ms	C62	Brandt® Model 8643 Currency/Document Counter brochure, 2 pages, (© 1995) English (GL002527-28)
ms	C63	De La Rue Cash Systems 2700VB Currency Counting Machine brochure, 2 pages (hand dated 9/99) English (GL002529-30)
ms	C64	De La Rue Cash Systems Brandt 8625 Currency Counting Machine, 2 pages (hand dated 11/99) English (GL002531-32)
ms	C65	De La Rue Cash Systems Branch Cash Automation Applications (Powerpoint), 28 pages, (date uncertain, prior to 11/7/02) English (GL002539-66)
ms	C66	De La Rue Commercial Self Service (Powerpoint), 24 pages, (date uncertain, prior to 11/7/02) English (GL002567-90)
ms	C67	De La Rue Automated Depositories (Powerpoint), 2 pages, (date uncertain, prior to 11/7/02) English (GL002591-92)
ms	C68	De La Rue Systems 2800VB Value Balancing Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002593-94)
ms	C69	De La Rue Systems 2700VB Specification page of brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002595)
ms	C70	Magner® 15 Desktop banknote counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002596-97)
ms	C71	MAG II Model 20 Currency Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002605-06)

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WB	C72	Banc Equip Magner Products Product Price List, 2 pages, (date uncertain, prior to 11/7/02) English (GL002613-14)
WB	C73	Magner Model 35 Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002625-26)
WB	C74	Magner 75 Series Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002627-28)
WB	C75	G&D BPS 200 Desktop Banknote Processing System brochure, 10 pages, (date uncertain, prior to 11/7/02) English (GL002629-38)
WB	C76	G&D BPS 200 Desktop Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002643-44)
WB	C77	G&D One Size Does Not Fit All! Brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002645)
WB	C78	G&D BPS 500 Banknote Processing System brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002646-49)
WB	C79	G&D Numeron webpage picture of sorting machine and Design Award for Numeron, 2 page, (3/29/02) (GL002650-51)
WB	C80	G&D BPS 200 Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002652-53)
WB	C81	G&D BPS 500 Banknote Processing System brochure, 1 page (hand dated 9/99) English (GL002654)
WB	C82	G&D Company Magazine, 36 pages, (3/98) English (GL002655-90)
WB	C83	G&D Cards and Card Systems brochure, 15 pages, (© 1998) English (GL002691-2705)
WB	C84	G&D Portrait of a Company Group brochure, 19 pages, (© 1997?) English (GL002706-24)
WB	C85	Mosler TouchSort™ Plus Currency Processing System brochure, 2 pages (© 1999) English (GL002727-28)
WB	C86	Mosler Satellite Branch Facilities – Riddell National Bank, Brazil, Indiana brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002729)
WB	C87	Mosler TouchSort™ Currency Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002730-31)
WB	C88	Mosler marketing brochure, 4 pages, (© 1999) English, (GL002732-35)
WB	C89	Glory UC-10-10A Brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002777-78)
WB	C90	Glory UC-10A pamphlet, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002779)
WB	C91	Glory pamphlet of various machines, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002780)
WB	C92	Glory UF-1 brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002781-82)
WB	C93	Glory GFU-200 Desk-top Currency Fitness Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002839-40)
WB	C94	Glory GFR-100 Currency Reader Counter brochure, 4 pages, (© 1995, English (GL002860-63)
WB	C95	Glory GFR-100 Currency Reader Counter Instruction Manual, 31 pages (1/8/96) English (GL002864-94)

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Page 5 of 5	Filing Date: December 21, 2001	Group: 3652

<i>MB</i>	C96	Glory Tank Currency Discriminators GFR-110 & GFR-S80 brochure, 2 pages, (© 2000) English (GL002959-60)
<i>MB</i>	C97	Glory Currency Reader Counter GFR-S80, S60 Instruction Manual, 33 pages (11/1/00) English (GL002961-93)
<i>MB</i>	C98	Glory UW-100 Compact Currency Fitness Sorter brochure, 2 pages (© 1999) English (GL003027-28)
<i>MB</i>	C99	Glory Currency Fitness Sorter UW-100 Instruction Manual, 38 pages (2/19/02) English (GL003029-66)
<i>MB</i>	C100	Glory UW-200 Multi-Purpose Company Currency Sorter brochure, 2 legal pages (© 1999) English (GL003067)
<i>MB</i>	C101	Glory Currency Sorter UW-200 With Fitness sorting mode (FIT) Instruction Manual, 44 pages (10/23/01) English (GL003068-111)
<i>MB</i>	C102	Billcon D-202-204 brochures, 6 pages, (date uncertain, prior to 11/7/02) Japanese (GL003112-17)
<i>MB</i>	C103	Billcon® R-900 E-DS Note Counter with Dual Speed and Denomination Sorting Function brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL003167-68)
<i>MB</i>	C104	Billcon R-900DS Currency Counter Operating Manual, 6 pages, (date uncertain, prior to 11/7/02) English (GL003169-74)
<i>MB</i>	C105	Billcon R-900 Currency Counter Service Manual (601221), 31 pages, (date uncertain, prior to 11/7/02) English (GL003175-3205)

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